

Disclosure Statement

Registered financial advisor – RFA

Name of Financial Advisor: Lily Lee (Li Li)
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It is important that you read this document.

This information will help you choose an advisor that best suits your needs. It will also provide some useful information about the advisor that you choose.

What sort of advisor am I?

I am a registered but not authorised Financial Advisor. I can give you advice about:

PERSONAL

Life cover
Family protection
Private health insurance
Trauma insurance
Income protection
Waiver of premium
Mortgage protection
Household Expenses cover

BUSINESS

Key person Benefit
Business overheads protection
Business insurance
Business lost revenue cover
Business protection Lump-Sum Benefits
Ownership Buyout
TPD Benefits

What should you do if anything goes wrong?

If you have a problem, concern or complaint about any part of my business, please tell me: LiLy Lee (Li Li). If we cannot agree on how to fix this issue, you can contact and lodge your complaint with my Disputes Resolution Scheme:

Name: Financial Services Complaints Ltd.
Email: info@fscl.org.nz
Telephone: (Call free) 0800 347 257 or Wellington (04) 472FSCL (472-3725)
Fax: (04) 472-3728
Physical Address: 13th Floor
45 Johnston Street, Wellington
Postal Address: P.O.Box 5967
Lambton Quay
Wellington, 6145

So that my complaints scheme can try to fix the problem. This service will cost you nothing.

How am I regulated by the Government?

You can check that I am a registered financial advisor at the following website: www.fspr.govt.nz

The Financial Markets Authority regulates Financial Advisors. Contact the Financial Markets Authority for more information. Including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may first choose to use the Dispute Resolution procedures described above (under **What should you do if something goes wrong?**)

Declaration

I Lily Lee, declare that, to the best of my knowledge and belief, the information contained in this Disclosure Statement is true and complete and complies with the Disclosure requirements in the financial Advisors Act 2008, and the Financial Advisors (Disclosure) Regulations 2010.

Advisor Signature _____ Date ____/____/____

Acknowledgement / Receipt of Disclosure

I _____ hereby acknowledge that I have read and understood the Disclosure which Lily Lee has presented and explained to me and I further acknowledge that I am in possession of a copy of this disclosure.

Client signature _____

Date ____ / ____ / ____