

## **Disclosure Statement**

Registered Financial Advisor – RFA

Name of Financial Advisor: Lily Lee (Li Li)  
FSP number: FSP107024 - Level 5 NZQF Qualified.  
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### **It is important that you read this document.**

This information will help you choose an advisor that best suits your needs. It will also provide some useful information about the advisor that you choose.

### **What sort of advisor am I?**

I am a registered but not authorized Financial Advisor. I can give you advice about:

#### **PERSONAL**

Life cover  
Family protection  
Private health insurance  
Trauma insurance  
Income protection  
Waiver of premium  
Mortgage protection  
Household Expenses cover

#### **BUSINESS**

Key person Benefit  
Business overheads protection  
Business insurance  
Business lost revenue cover  
Business protection Lump-Sum Benefits  
Ownership Buyout  
TPD Benefits

### **What should you do if anything goes wrong?**

If you have a problem, concern or complaint about any part of my business, please tell me: Lily Lee (Li Li). If we cannot agree on how to fix this issue, you can contact and lodge your complaint with my Disputes Resolution Scheme:

Name: Financial Services Complaints Ltd.  
Email: info@fscl.org.nz  
Telephone: (Call free) 0800 347 257 or Wellington (04) 472FSCL (472-3725)  
Fax: (04) 472-3728  
Physical Address: Level 4, 101 Lambton Quay  
Wellington 6011

Postal Address: P.O.Box 5967  
Lambton Quay  
Wellington, 6145

So that my complaints scheme can try to fix the problem. This service will cost you nothing.

## How am I regulated by the Government?

You can check that I am a registered financial advisor at the following website:  
[www.fspr.govt.nz](http://www.fspr.govt.nz)

The Financial Markets Authority regulates Financial Advisors. Contact the Financial Markets Authority for more information. Including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may first choose to use the Dispute Resolution procedures described above (under **What should you do if something goes wrong?**)

## Declaration

I, Lily Lee, declare that, to the best of my knowledge and belief, the information contained in this Disclosure Statement is true and complete and complies with the Disclosure requirements in the financial Advisors Act 2008, and the Financial Advisors (Disclosure) Regulations 2010.

Advisor Signature \_\_\_\_\_

Date \_\_\_\_/\_\_\_\_/\_\_\_\_

**(Version 6, March 2019)**